# Enhancing Executive Functioning with Interventions for Elementary Students with ASD/ADHD







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Goal: To discuss multiple methods of intervention for EF skill development using the Unstuck and On Target curricula

Plan

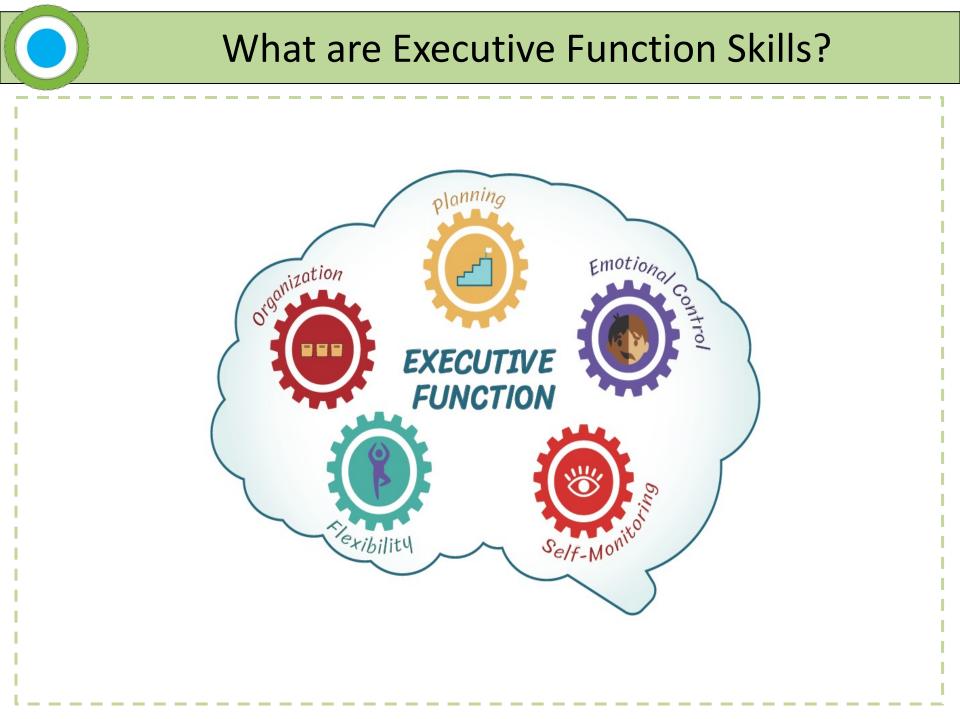
- Introduction – What is EF and why is it important

#### Disclosures

The work being presented today was partially funded through a Patient-Centered Outcomes Research Institute (PCORI) Dissemination and Implementation Award (DI-2019C2-17605).

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# Types of EF challenges

- Trouble transitioning or not getting started on something they don't want to do
- Not staying calm when facing challenges
- Inflexible thinking
- Poor problem-solving
- Difficulty accepting feedback and criticism
- Shutting down when something is challenging
- Difficulty keeping track of belongings or assignments



## Why are Executive Functions important?

Executive Functioning problems are common in neurodivergent individuals (Craig et. al., 2015).

- as environmental expectations increase over time, more support and training are needed.

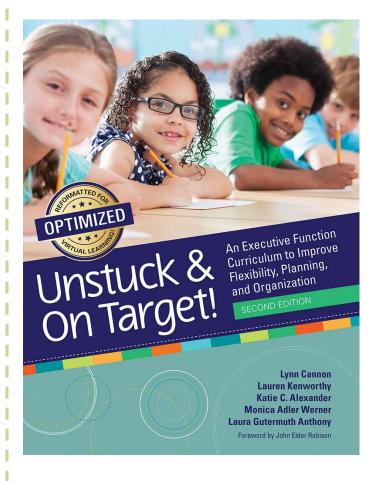
EFs are pivotal treatment targets and have been linked to functional outcomes:

- Learning and academic skills (Pellicano et. al., 2017; St. John et. al., 2018)
- self-determination (Pugliese et. al., 2016)
- adaptive skills (Wallace et. al, 2016; Pugliese et. al., 2016; Gardiner et. al., 2018)
- Mental Health (Snyder et. al., 2015)
- Responsive to treatment (Kenworthy et al., 2014)

School is a primary service access point



# Unstuck and On Target! addresses EF challenges



# **Unstuck and On Target**

#1 Foundational Skills

#2 What is Flexibility

#3 How to be Flexible

#4 Why be Flexible

#5 Your Goals: Getting what you want

#6 Flexible, Goal-Directed Futures

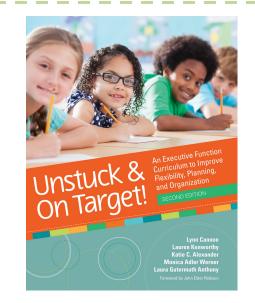
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# Self regulatory scripts

Flexible	<ul> <li>Great job being flexible</li> </ul>			
Unstuck	<ul> <li>I'm getting stuck on, how can I get unstuck?</li> </ul>			
Compromise	<ul> <li>Let's compromise so we both get some of what we want</li> </ul>			
Plan A/Plan B	<ul><li>What is our plan?</li><li>What is our Plan B?</li></ul>			
Big Deal/Little Deal	<ul> <li>How can we make this big deal into a little deal?</li> </ul>			
Goal-Why-Plan-Do-Check				

Ylvisaker, 1998; Ylvisaker et al, 2003, Ylvisaker et al, 2006

#### **Spiraling Executive Function Curricula**



Age 3-6: Unstuck song, story books, activities

Age 7-11: Fun games, experiments

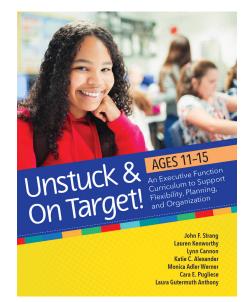
Age 11-15: Planning fun activities, building power

Unstuck & On Target: stre High School Interventionist Manual



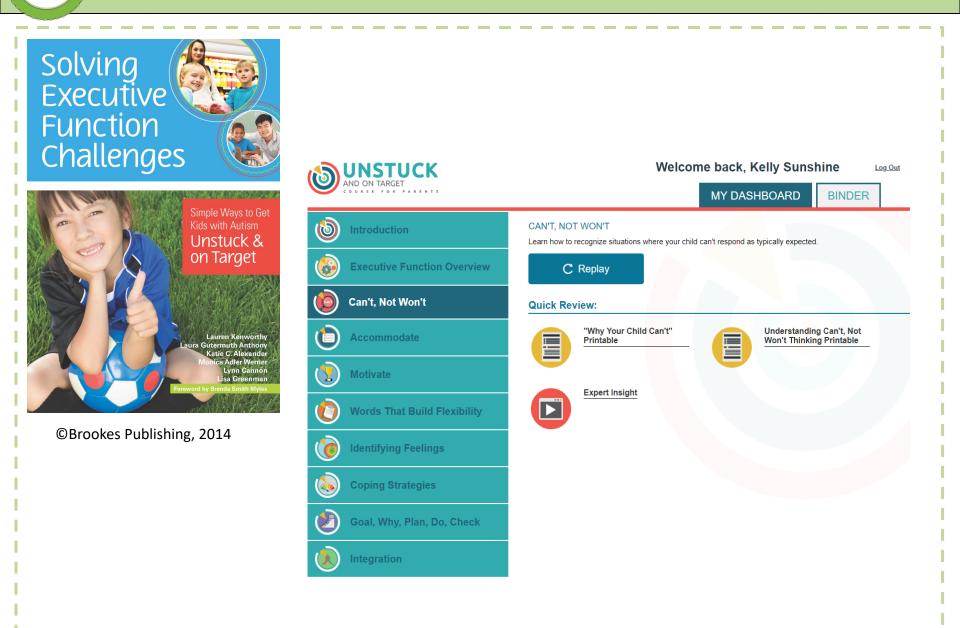


Cara E. Pugliese, Lauren Kenworthy, Lynn Cannon, Katie C. Alexander, Monica Adler Werner, John Strang, Laura Anthony © Age 15-20: Understanding your strengths and challenges, self advocacy



Understand, Support, Teach Strengths-Based Emotion recognition and coping Common self-regulatory vocabulary

#### **Additional Unstuck materials**



#### **Evidence** (trials)

 Trial 1 (NIMH R34) – Pilot Randomized effectiveness trial comparing Unstuck to a social skills Intervention

Journal of Child Psychology and Psychiatry 55:4 (2014), pp 374-383

doi:10.1111/jcpp.12161

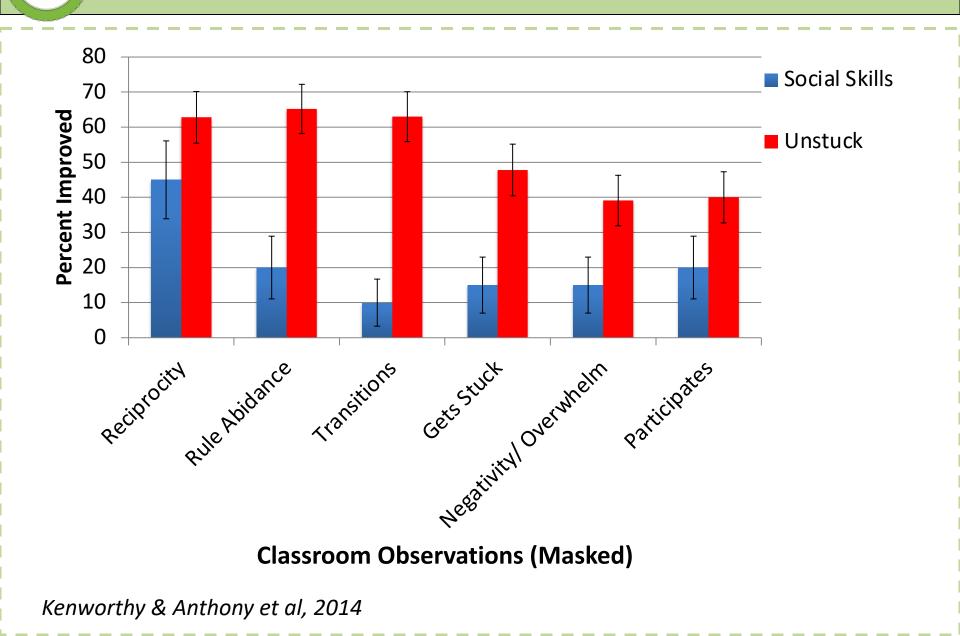
# Randomized controlled effectiveness trial of executive function intervention for children on the autism spectrum

Lauren Kenworthy, <sup>1,2,\*</sup> Laura Gutermuth Anthony, <sup>1,2,\*</sup> Daniel Q. Naiman, <sup>3</sup> Lynn Cannon, <sup>4</sup> Meagan C. Wills, <sup>1</sup> Caroline Luong-Tran, <sup>1</sup> Monica Adler Werner, <sup>4</sup> Katie C. Alexander, <sup>4</sup> John Strang, <sup>1,2</sup> Elgiz Bal, <sup>1</sup> Jennifer L. Sokoloff, <sup>1</sup> and Gregory L. Wallace<sup>5</sup> <sup>1</sup>Children's National Medical Center, Center for Autism Spectrum Disorders, Rockville, MD, USA; <sup>2</sup>The George Washington University School of Medicine, Washington, DC, USA; <sup>3</sup>Department of Applied Mathematics and Statistic Line University School of Medicine, Washington, DC, USA; <sup>3</sup>Department of Applied Mathematics and

Statistics, Johns Hopkins University, Baltimore, MD, USA; <sup>4</sup>The Ivymount School, Rockville, MD, USA; <sup>5</sup>Laboratory of Brain and Cognition, National Institute of Mental Health, National Institutes of Health, Bethesda, MD, USA

Trial 2 (PCORI CER) – Disparities Comparative effectiveness trial comparing Unstuck to an adapted Contingency Behavior management system

#### **Evidence** (trials)

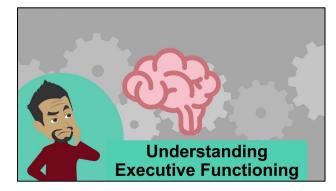


<b>Target:</b>	ASD		ADHD		
	UOT	CBM	UOT	CBM	
Classroom behavior	$\checkmark$	X	$\checkmark$	$\checkmark$	
Student acceptability	$\checkmark$	Х	$\checkmark$	Х	
Parent acceptability	$\checkmark$	X	$\checkmark$	Х	
Problem-solving	$\checkmark$	$\checkmark$	$\checkmark$	Х	
Social Flexibility	$\checkmark$	Х	$\checkmark$	$\checkmark$	
Planning	$\checkmark$	X	$\checkmark$	$\checkmark$	

# **Current Dissemination/Implementation Project**

- Dissemination & Implementation (PCORI D&I) translating training into online format and disseminating Unstuck broadly
  - Covid-19 Enhancement project provided
     Supplemental funding to create Unstuck at

home parent materials





Additional supplemental funding received to examine the cost of running unstuck

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- Introduction What is EF and why is it important
- Presentation 1: Utility of online educator training in an EF intervention for elementary students with ASD/ADH

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- Presentation 4: Adapting a School-based Executive Functioning Intervention for an Outpatient Clinic
- Discussion What does this mean and next steps

# Utility of online educator training in an EF intervention for elementary students with ASD/ADH

Alyssa Verbalis, Jacob Whiteford, Laura Campos, Christina Studts, Tennyson Dahlman, Anna C. Armour, Jessica Holmes, Jessica V Smith, Eddy Panklang, Jack Cronin, Monica Adler Warner Lynn Cannon, Katie C. Alexander, Meredith Gunn, Mark Gritz, Bruno Anthony, Laura Anthony, Lauren Kenworthy

This work was supported by a grant from the Patient Centered Outcomes Research Institute (PCORI) DI-2019C2-17605.



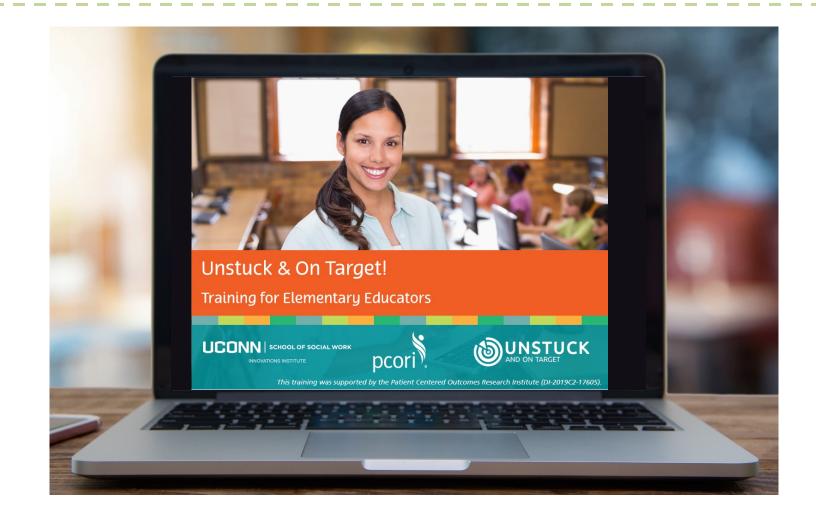
University of Colorado Anschutz Medical Campus



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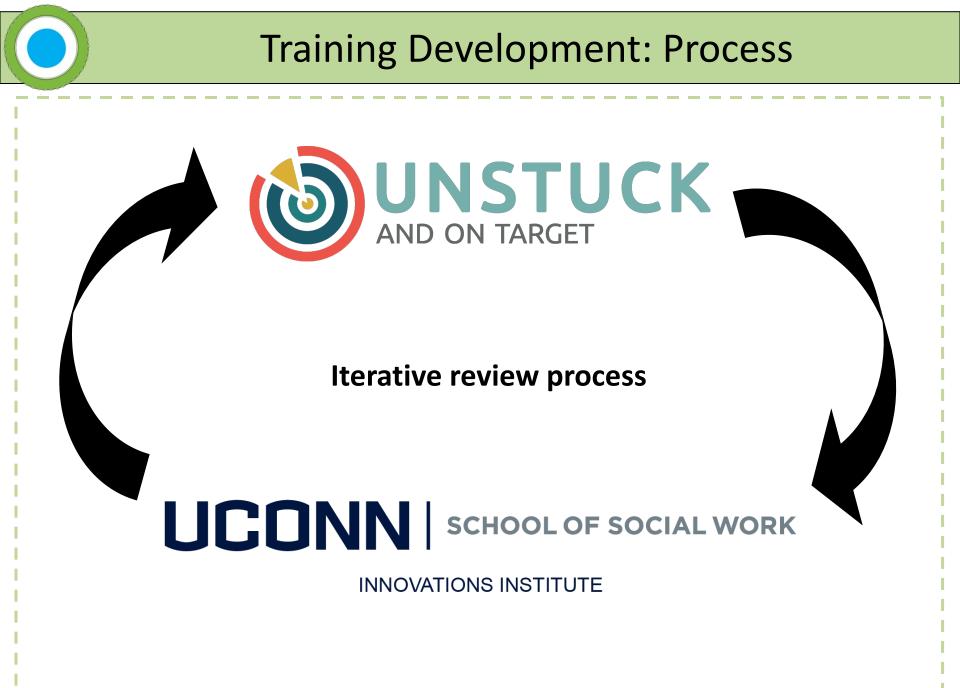


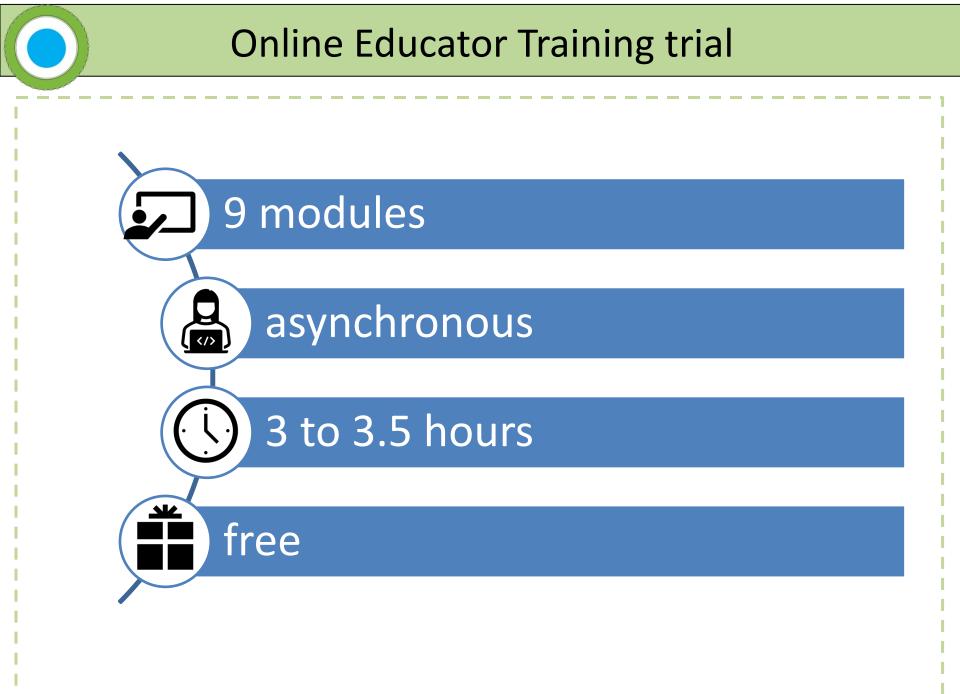
#### **Training Development**



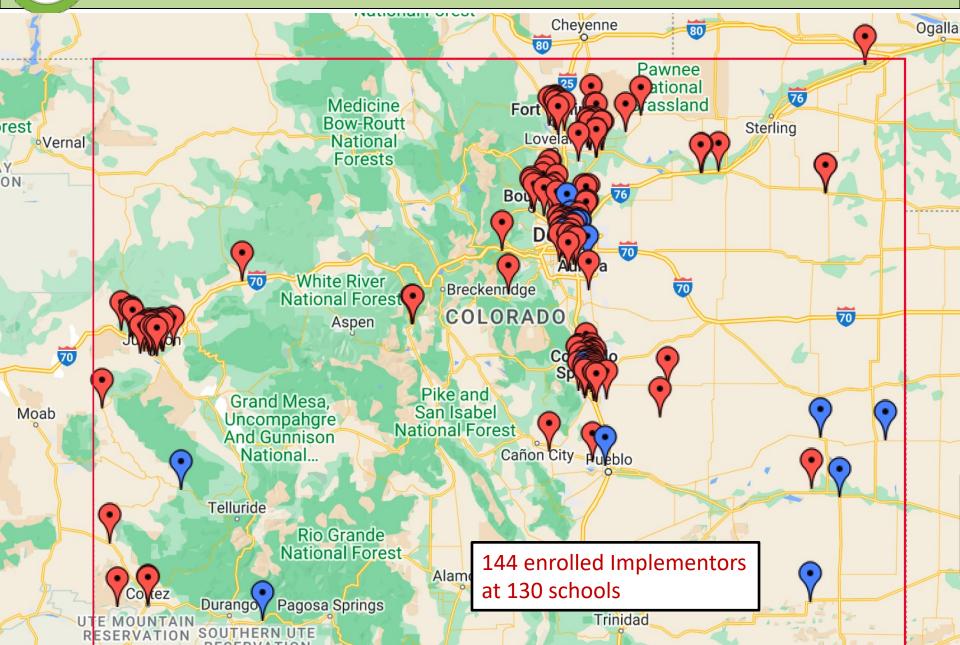
Unstuck and On Target for Elementary Educators

- In the original Unstuck studies, implementers were trained by our team and in person
  - Not a scalable training model!
- <u>Our goal</u>: Increase the reach, adoption, implementation, and maintenance of Unstuck
- A free online training for Unstuck could:
  - Increase the number of schools <u>adopting</u> Unstuck
  - Increase the number of implementers <u>delivering</u> Unstuck
  - Increase the number of children <u>reached</u> by Unstuck
  - Increase the chances of <u>sustaining</u> Unstuck over time

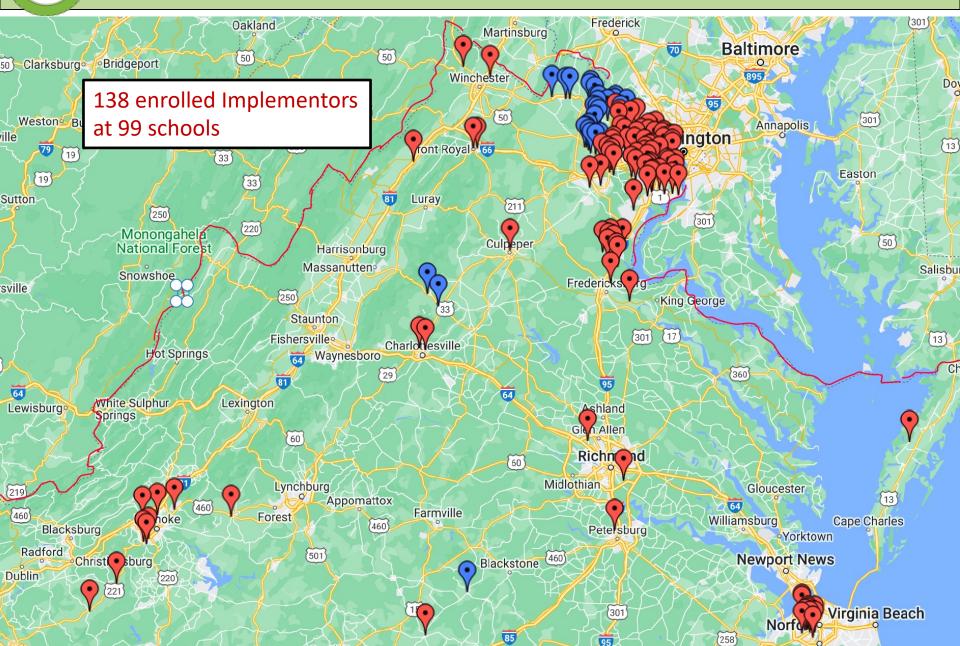




# Pin map of Colorado



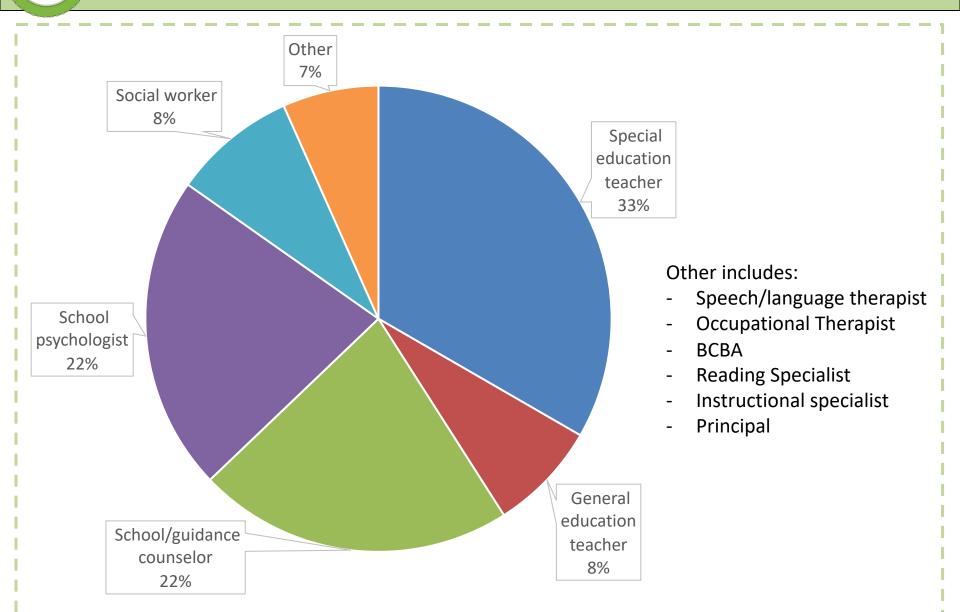
## Pin Map of Virginia



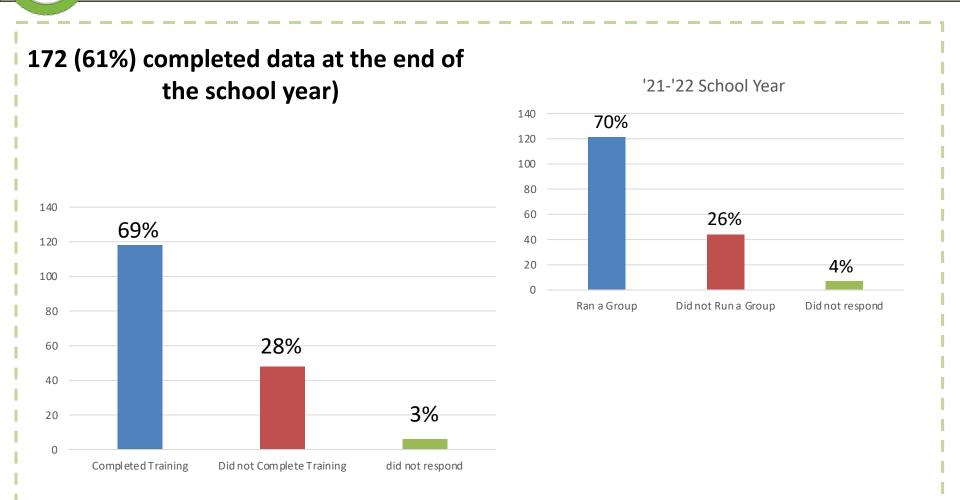
# **Implementer Demographics**

Total	N = 282 (100%)	
Gender Male Female Unreported	N = 17 (6%) N = 264 (94%) N = 1 (0.3%)	
Age	41 years (SD = 10.9)	
Years Teaching	11 years (SD = 8.2)	
Ethnicity Hispanic/Latine Not Hispanic/Latine Unreported	N = 25 (9%) N = 253 (90%) N = 4 (1%)	
Race Native American Asian Black White Multiracial Other Unreported	N = 1 (0.3%) N = 7 (2.5%) N = 8 (2.8%) N = 253 (90%) N = 9 (3.2%) N = 2 (0.7%) N = 2 (0.7%)	

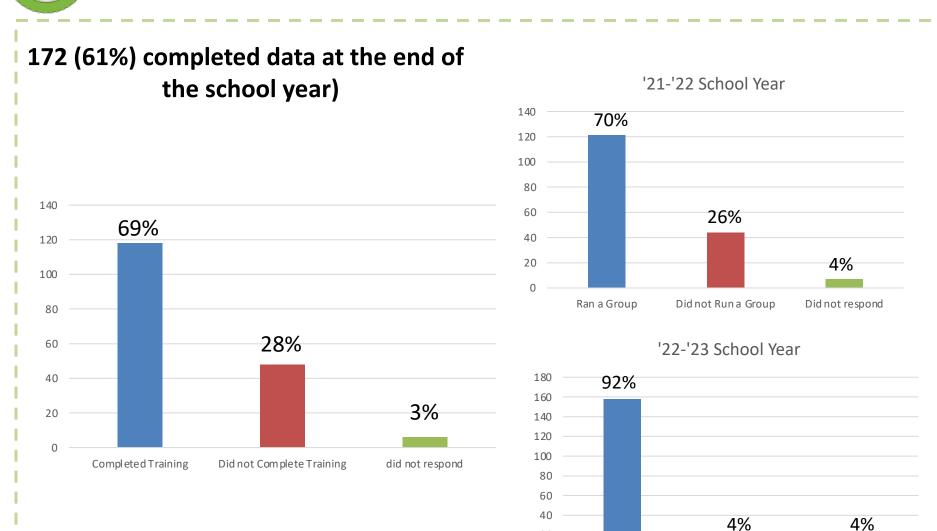
#### **Implementer Occupations**



#### Results



#### Results



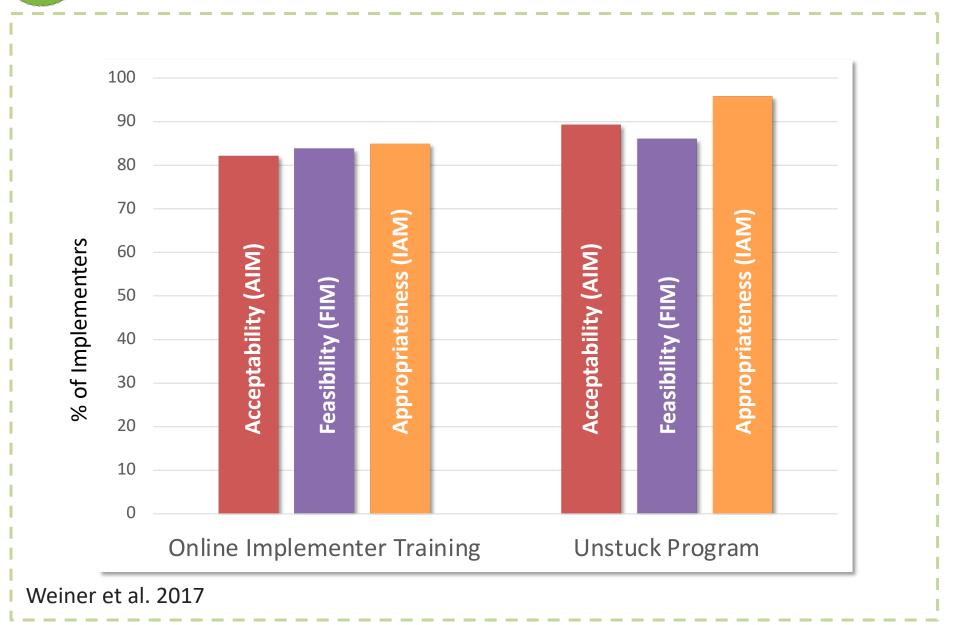
20 0

Plan to run group

won't run a group

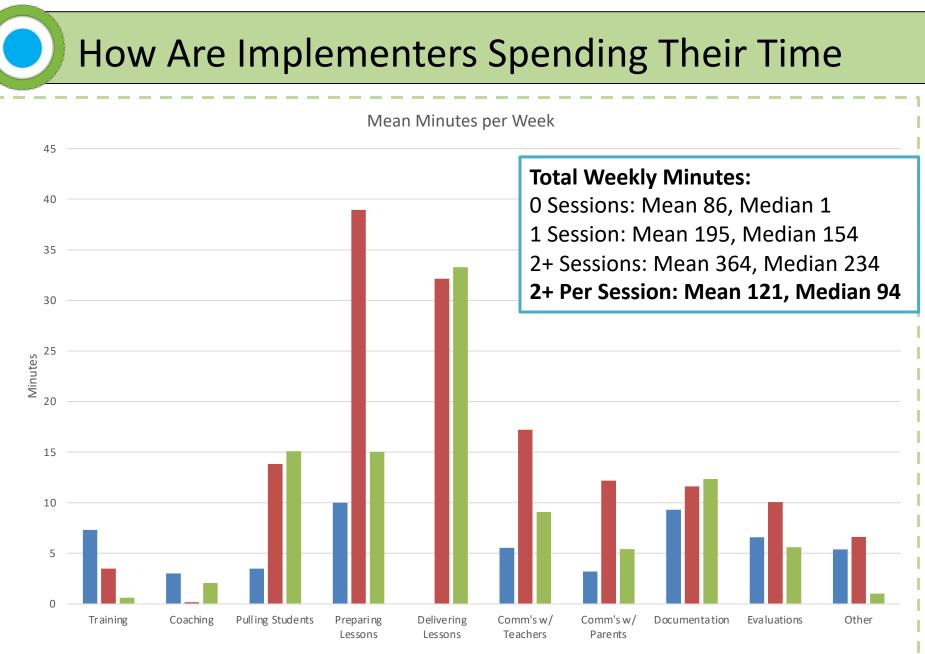
did not respond

## Acceptability, Feasibility, and Appropriateness



## Understanding the Cost of Unstuck

- Activity-Based Costing (ABC)
- Process-mapping approach
- Time-activity data collection
  - Self-reported activity logs
  - Surveys
  - Supplemented with key informant interviews
  - Random-week sampling
- Assuming:
  - 21 weeks where implementers deliver UOT lessons
  - 15 weeks without UOT lessons



■ 0 Sessions (n=50) ■ 1 Session (n=28) ■ 2+ Sessions (n=32)

#### So What Does It Cost?

#### **Overall Average Minutes Per Session**

	-	Weeks without Sessions (n=15)	Weeks with Sessions (n=21)	Implementation Personnel Costs	Other Implementation Costs	Pre-implementati Costs	Total Cost of Implementation
(	1) Special Education Teacher School Psychologist	\$ 1,286.09 \$ 272.39	\$ 6,479.50 \$ 1,698.08	\$ 9,736.06	\$ 54.72	\$ 931.70	\$ 10,722.48
(	School Psychologist 2) General Education Teacher	\$ 1,545.44 \$ 219.12	\$ 7,786.13 \$ 1,366.00	\$ 10,916.69	\$ 54.72	\$ 931.70	\$ 11,903.11
(	<ul> <li>Guidance Counselor</li> <li>Administrataor</li> </ul>	\$ 1,177.99 \$ 335.31	\$ 5,934.84 \$ 2,090.34	\$ 9,538.48	\$ 54.72	\$ 931.70	\$ 10,524.89

#### Two+ Sessions Average Minutes Per Session.

	Weeks without Sessions (n=15)	Weeks with Sessions (n=21)	Implementation Personnel Costs	Other Implementation Costs	Pre-implementatic Costs	Total Cost of Implementation
(1) Special Education Teacher School Psychologist	\$ 1,286.09 \$ 272.39	\$ 5,042.02 \$ 1,063.93	\$ 7,664.43	\$ 54.72	\$ 931.70	\$ 8,650.85
(2) General Education Teacher	\$ 1,545.44 \$ 219.12	\$ 6,058.77 \$ 855.87	\$ 8,679.20	\$ 54.72	\$ 931.70	\$ 9,665.61
(3) Guidance Counselor Administrataor	\$ 1,177.99 \$ 335.31	\$ 4,618.19 \$ 1,309.70	\$ 7,441.19	\$ 54.72	\$ 931.70	\$ 8,427.60

#### **Summary For Cost Supplement**

- Unstuck was delivered by implementers in varying roles
- Overall cost to deliver Unstuck in a single school year was driven by implementer role
  - Ranged from \$8,427.60 to \$11,903.11
- Costs other than personnel time were minimal
- Implementers described variations in time spent associated with:
  - Number of sessions delivered per week
  - Amount of prep time
    - Amount of communication with teachers and parents

#### How many kids actually got exposure?

- Of the 172 implementers who completed post questionnaire, they indicated that 1460 students received Unstuck lessons. This would extrapolate to 2393 students receiving Unstuck lesson for all 282 enrolled implementers.
- Further, the implementers who completed post estimated that 2453 students at their schools had some exposure to Unstuck during the 2021-2022 school year. This extrapolates to 4021 students in all enrolled schools.
- We collected follow up data from 140 implementors one year later, who estimated that **1750 students** at their schools received Unstuck during the 2022-2023 school year. Again, this extrapolates to **3535 students** in all enrolled schools.

## **Overall Conclusion and Next Steps**

- Unstuck is a low-cost, evidence-supported EF intervention that can be implemented with fidelity by school staff, thus reducing many barriers in access to care for elementary age children with flexibility, organization, and planning challenges.
- The development of an effective on-line educator training modules removes a key implementation barrier related to school staff training needs.
- Currently collecting data on whether the training and program is spreading to other schools.

# Acceptability and challenges connected to EF intervention for elementary students with ASD/ADHD

Laura Campos, Christina Studts, Alyssa Verbalis, Jack Cronin, Anna C. Armour, Tennyson Dahlman, Jessica Holmes, Eddy Panklang, Jessica V. Smith, Jacob Whiteford, Meredith Gunn, Bruno Anthony, Mark Gritz, Laura Anthony, Lauren Kenworthy





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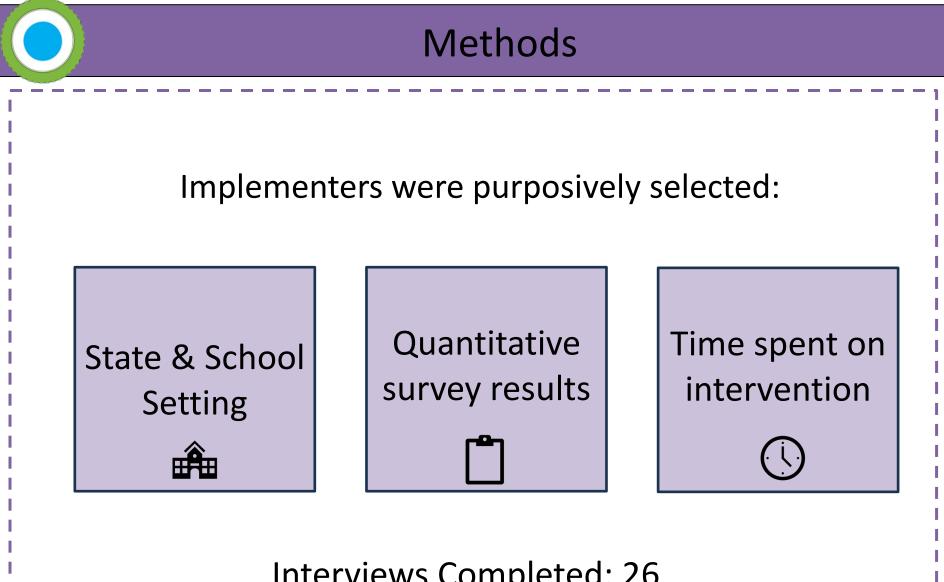
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## Introduction



 Barriers and facilitators are important to understand so that implementation and interventions can be improved



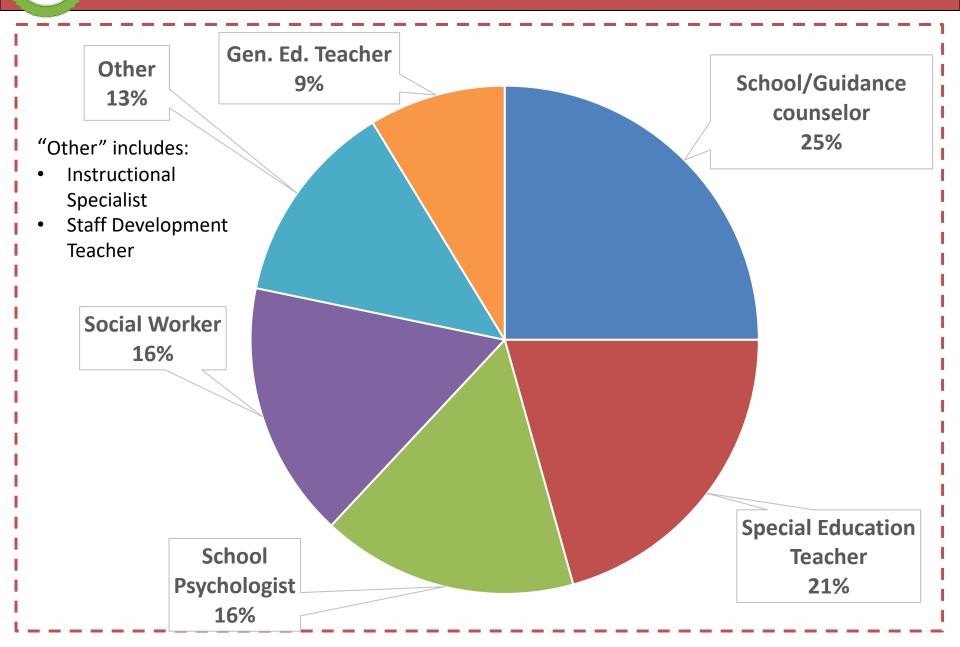
**Interviews Completed: 26** 

# **Implementer & School Characteristics**

Implementer Characteristics	n
Gender	
Female	25
Male	1
Race	
White	24
Multiracial	2
Ethnicity	
Hispanic	2
Not Hispanic	24

School Characteristics	n
School Type	
Private	1
Public	25
Setting	
Rural	7
Urban	19

## **Implementer Occupations**



## **Quantitative Ratings**

Implementer Ratings	n	
Unstuck AIM/FIM/IAM		
High	12	
Low	11	
Missing	3	
Online training AIM/FIM/IAM		
High	14	
Low	9	
Missing	3	

Reported Minutes per Week	Count
0-60	6
61-120	6
121-181	5
181-240	2
241+	1
Missing	6

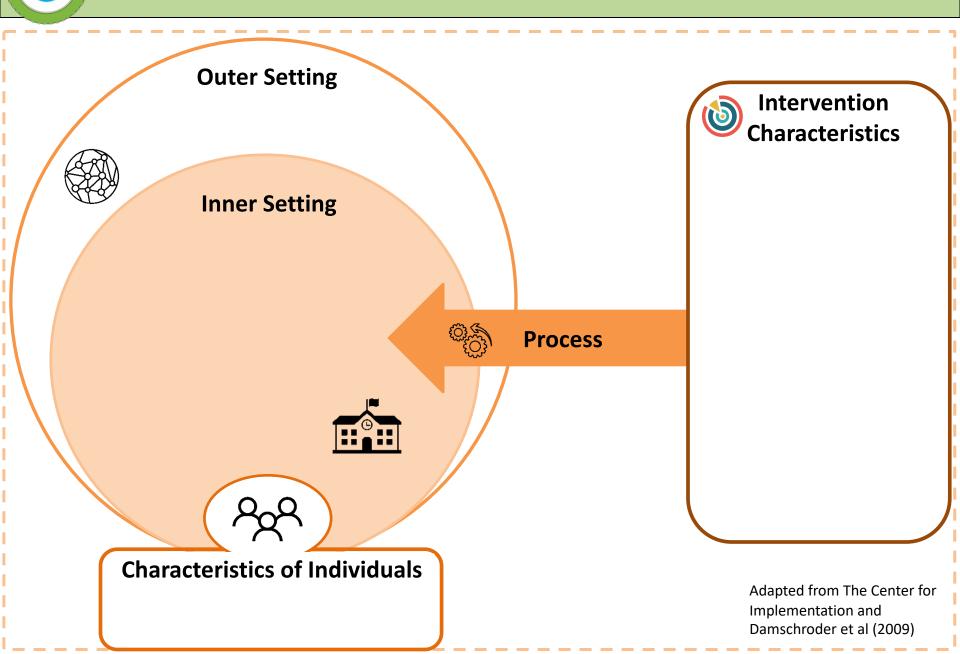
## Methods

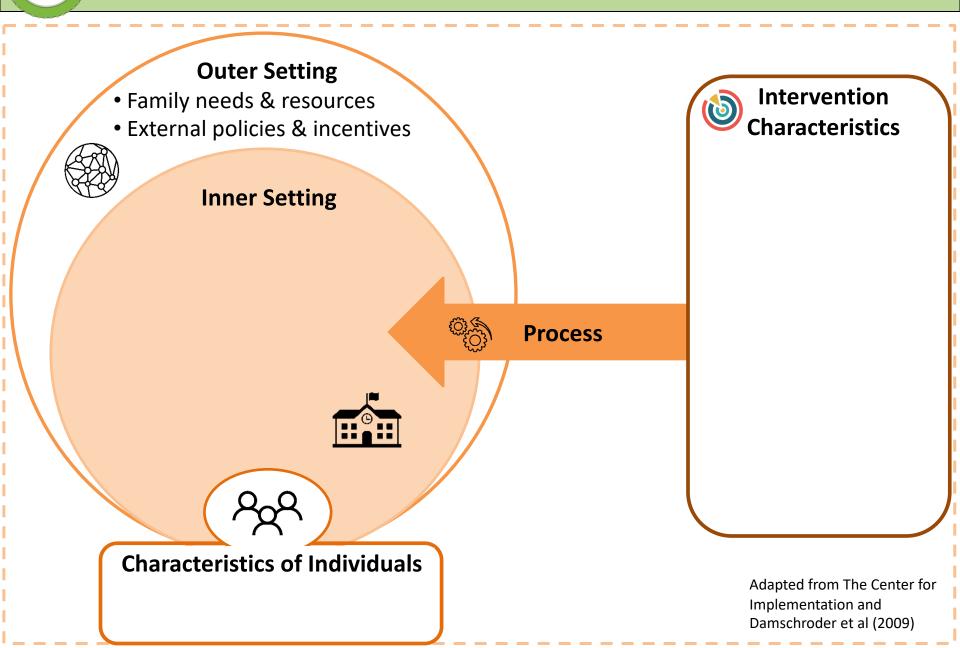
#### Implementation Frameworks

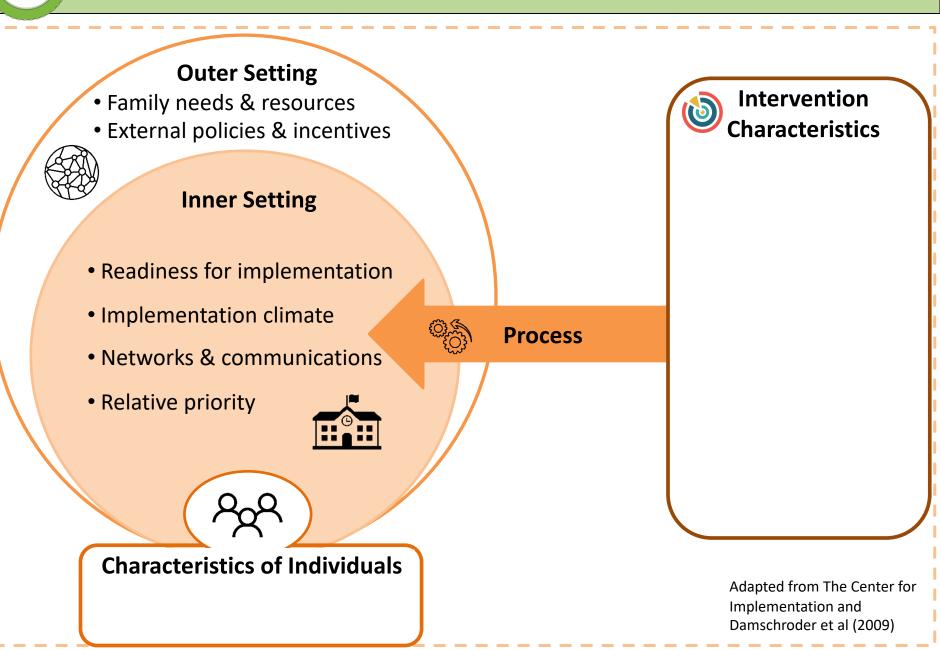
#### Consolidated Framework for Implementation Research (CFIR)

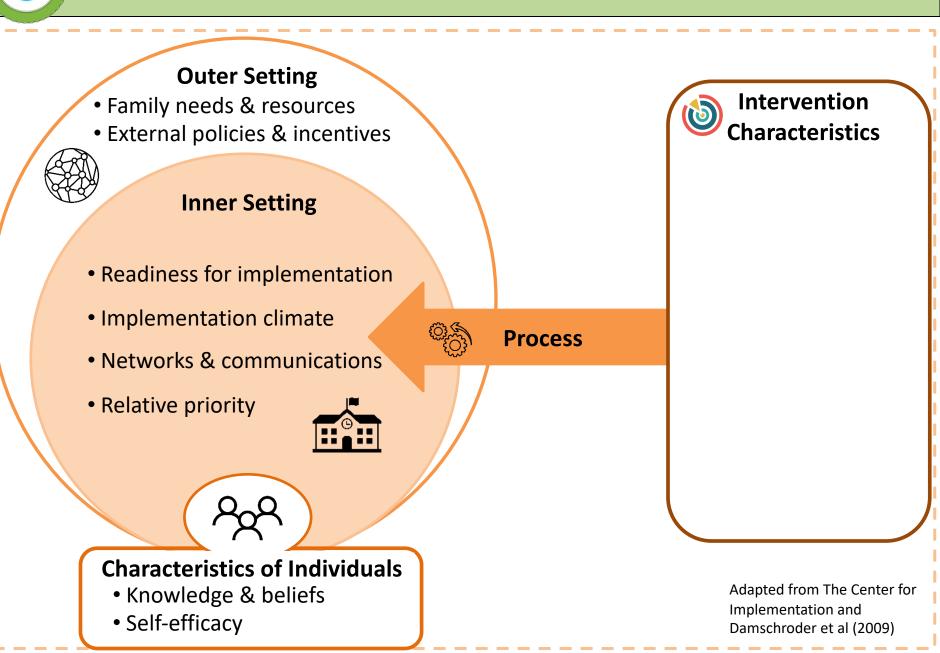
- Outer/Inner Setting
- Individual/Intervention Characteristics
- Process

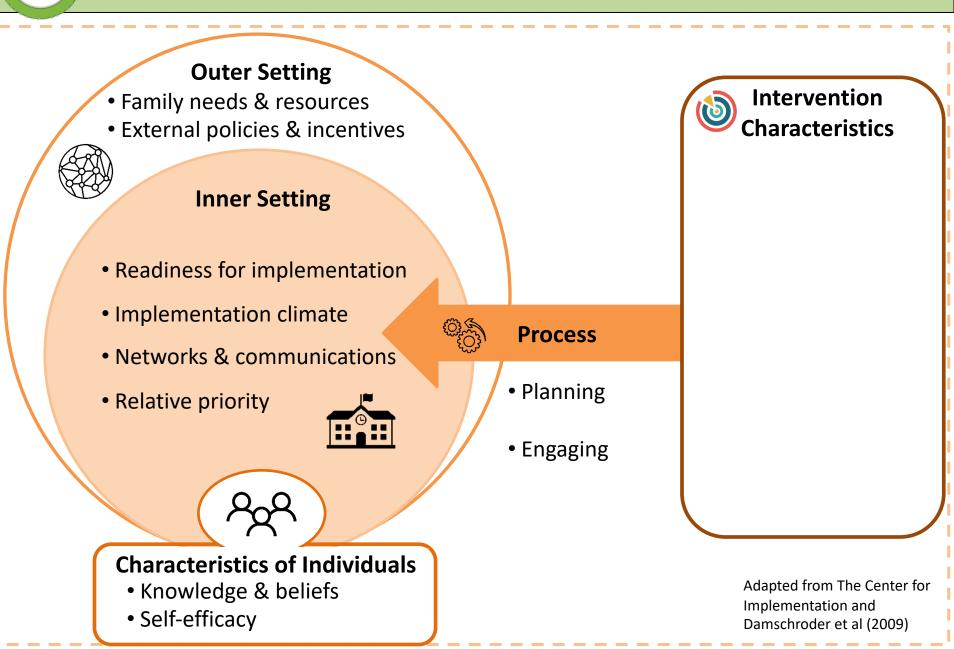
Reach, Effectiveness, Adoption,
 Implementation, and Maintenance
 Framework (RE-AIM)

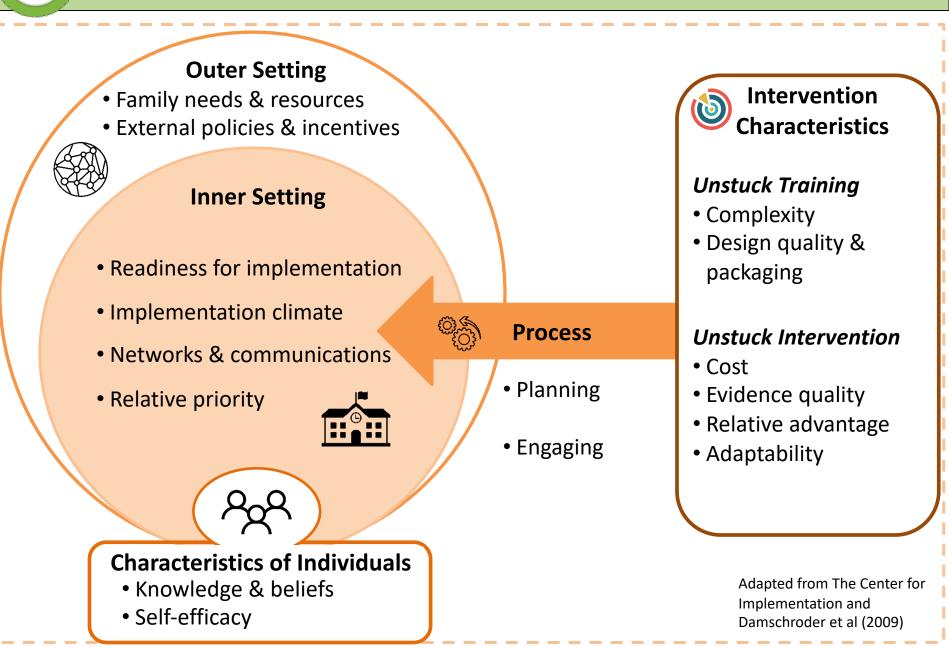




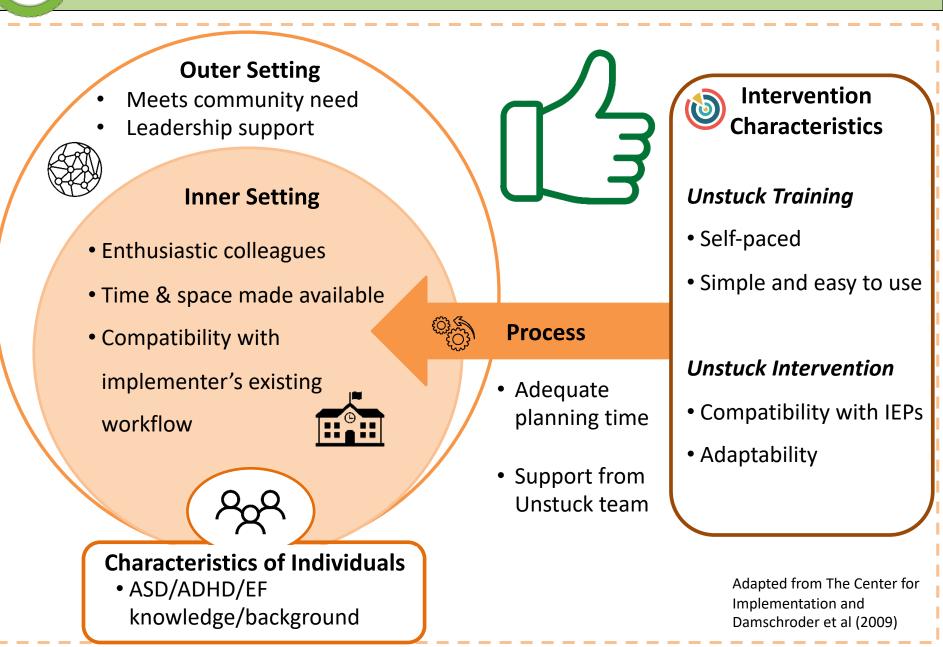








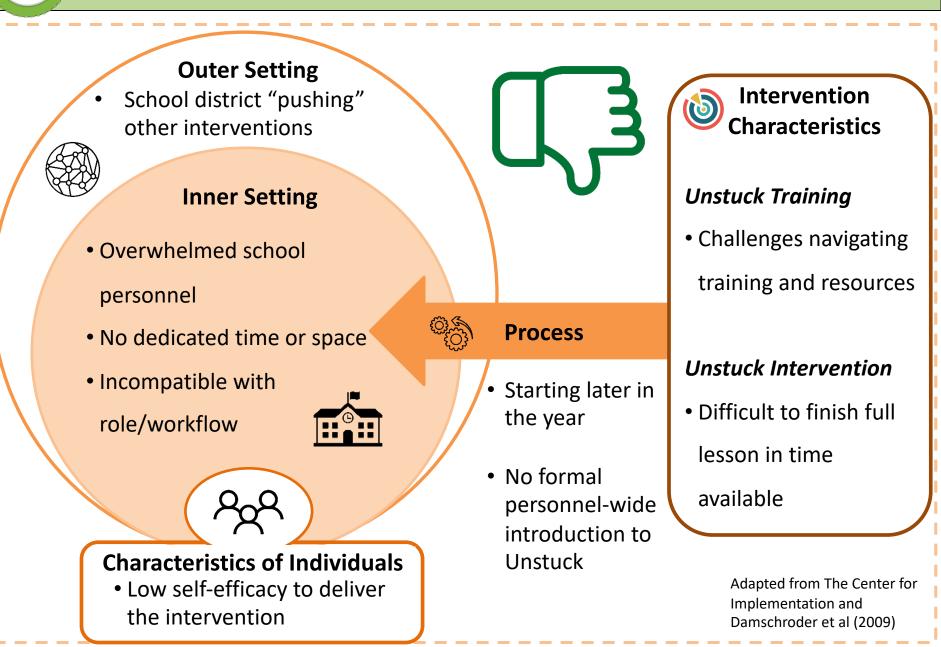
#### Qualitative Results: FACILITATORS to implementation



"I think that's the hard part about executive functioning like how to teach it, so it was nice to have those lessons already prepared and there was some like flexibility and how you are delivering it." "So what was helpful, is having a really good team of educators and parents who are on board with learning about it."

Special Ed Teacher in a rural school Guidance counselor in a public school

#### Qualitative Results: BARRIERS to implementation



## Quotes: BARRIERS to implementation

"I did get a little confused on like the materials and the material prep part of the lesson plans, like, I do remember getting confused on like where to find those materials." "Even with more experience, I would still feel like I probably couldn't get through those lessons meaningfully in 30 minutes."

Speech Language Pathologist in a rural school

School Psychologist in a public school

## Conclusions

#### Where are we now?

- Increased understanding of varying experiences
- Identified important facilitators and barriers to Unstuck implementation

## **Adaptability of Unstuck**

• Key characteristic to continue improving its "fit"

### **Examples of adaptations identified by implementers:**

- Changing the delivery modality
- Breaking lessons into shorter pieces
- Meeting more or less frequently with students
- Creating their own supporting materials

#### Where are we going next?

• Select strategies to leverage facilitators and overcome barriers

# Feasibility of Accessible Videos for Caregivers of Children with Executive Function Challenges

Jessica V. Smith, Tennyson Dahlman, Alyssa Verbalis, Jessica Holmes, A. Chelsea Armour, Allison B. Ratto, Kristina K. Hardy, Meredith Gunn, Kaitlyn Decker, Dennard Brown, Te'Andis Elliott, Monica A. Werner, Katie C. Alexander, Lynn Cannon, Bruno J. Anthony, Lauren Kenworthy, Laura G. Anthony





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## Background

#### Generalization of skills to home is a lasting clinical

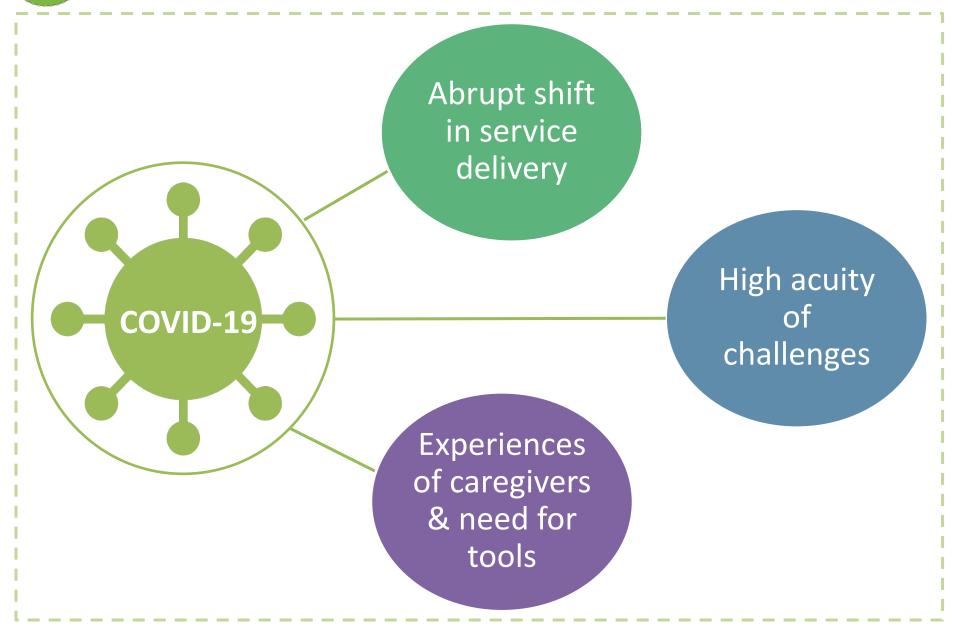
challenge (Swan, Carper, & Kendall, 2016)

#### Engaging caregivers in treatment is crucial (e.g., Haine-Schlagel et al., 2022)

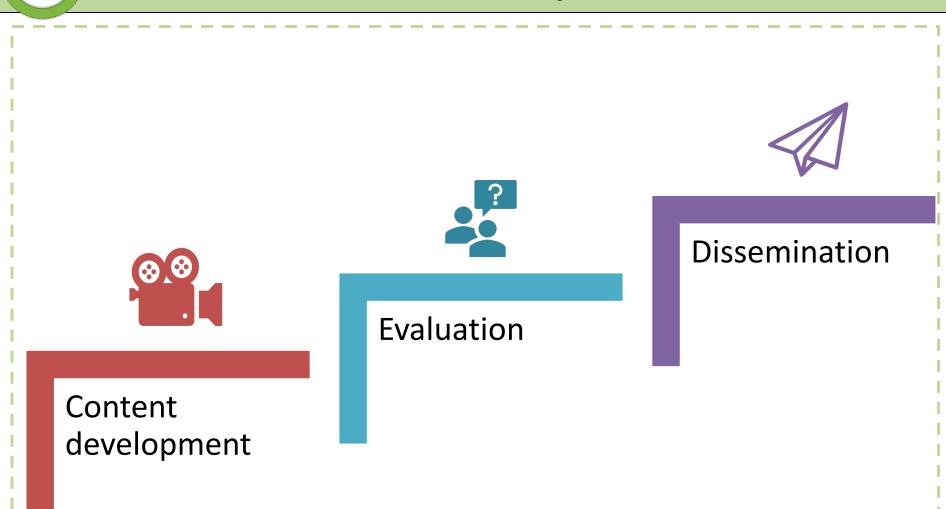
Barriers to treatment can prevent caregiver engagement (Vohra et al., 2014)

Technology can limit barriers to treatment & maintain outcomes (Pacione, 2022)

## **Context: COVID-19 Pandemic**



## **Present Project**



## **Content Development**

## Production of Videos

Translated core components of Unstuck to home-based supports

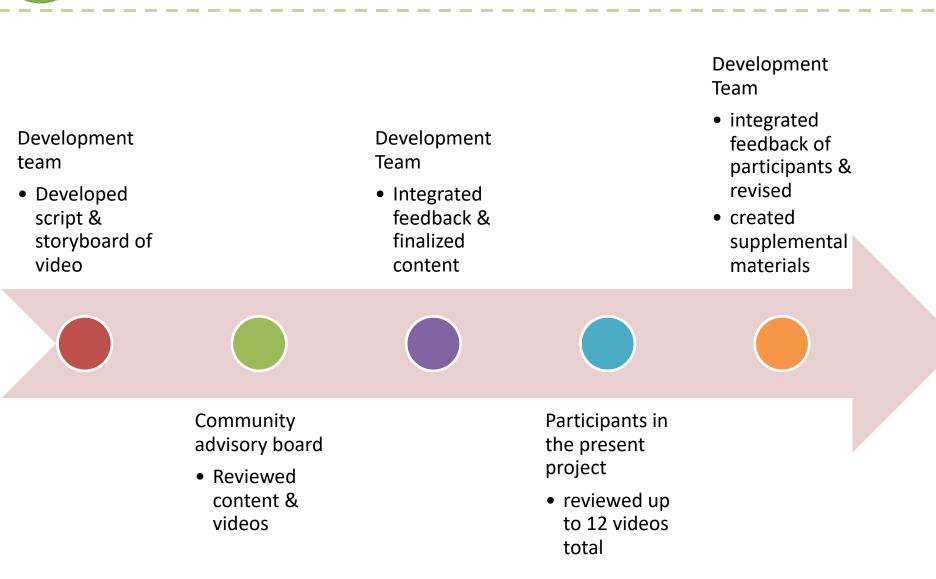
Psychoeducation & effective support strategies for child EF

12 videos total, 5 also available in Spanish

• 2.78 -7.45 minutes, *M* = 4.72

## **Iterative Development**







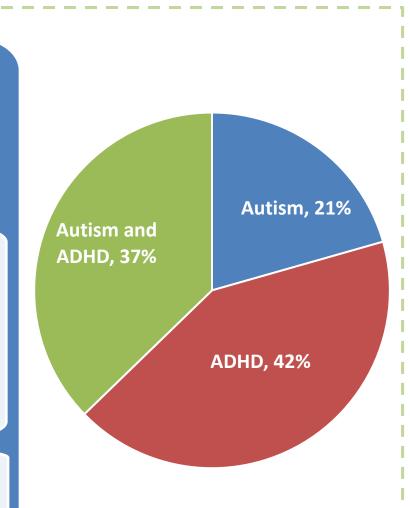


Caregivers of third to fifth graders with caregiver-reported EF challenges & symptoms of autism, ADHD, or both

#### N = 102

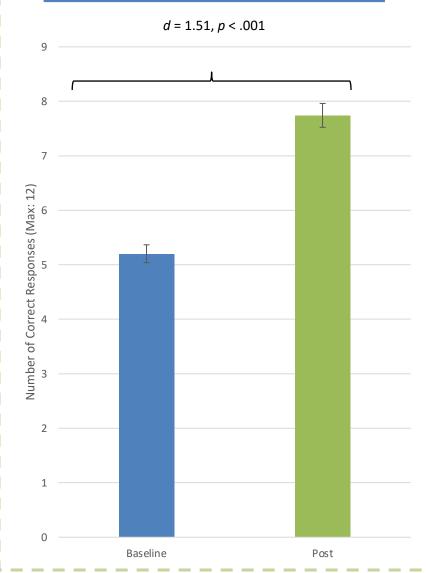
- 91% female
- 63% White
- Age: *M* = 41.33, *SD* = 6.15
- n = 14 Spanish-English speakers

Fully remote study, received groups of videos at a time





Caregiver Knowledge



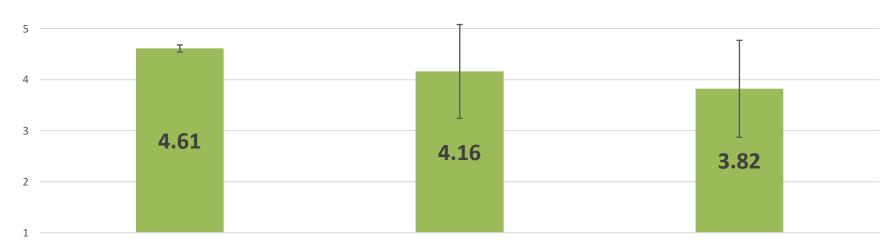
#### Caregiver-Report of Helpfulness

Video	М	SE
Understanding Executive Functioning	4.08	0.93
Motivation: Being Flexible Gives You Choices	4.13	0.96
What to Do When What We Want Seems Impossible	3.82	0.98
Break it Down	3.89	1
Compromising So Everyone Wins	3.94	0.92
Is it a "Can't" or a "Won't?"	4.03	0.95
Expect the Unexpected & Manage Disappointment	4.06	0.9
Goal, Why, Plan	4.21	0.84
Plan A/Plan B & Big Deal/Little Deal	4.15	0.83
Talk Less/Write it Down	4.15	0.87
Preventing Overload	3.75	1.03
Managing Intense Feelings	3.83	0.98





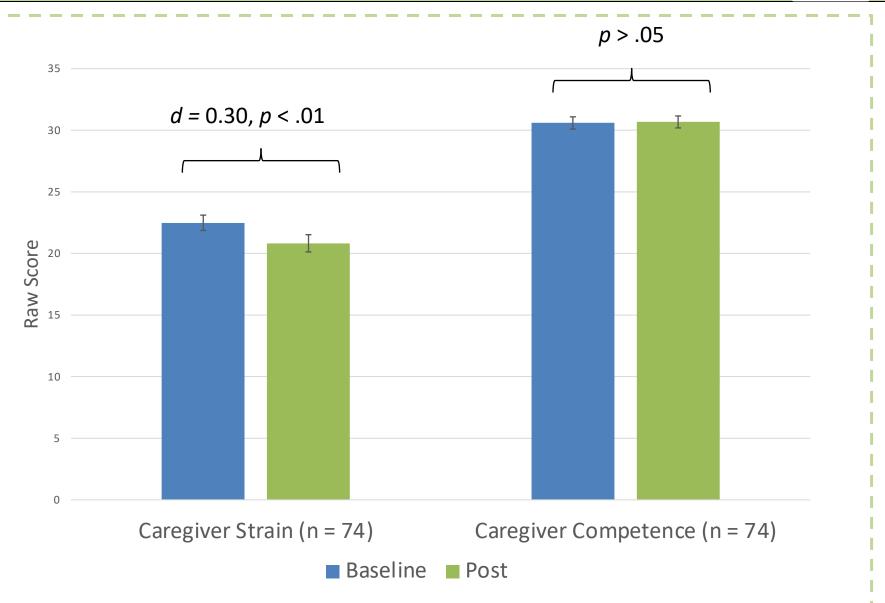


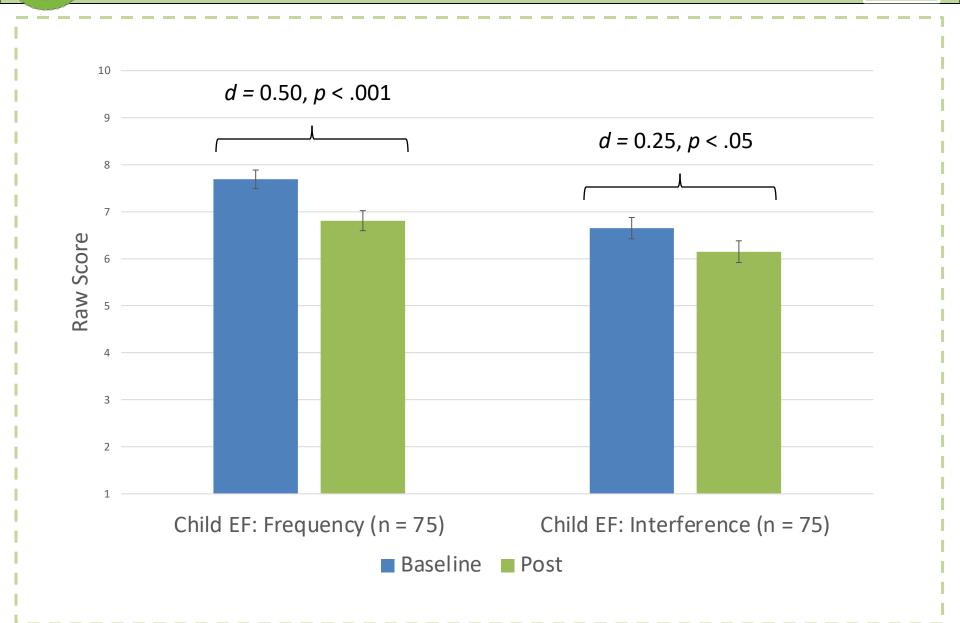


I would recommend these

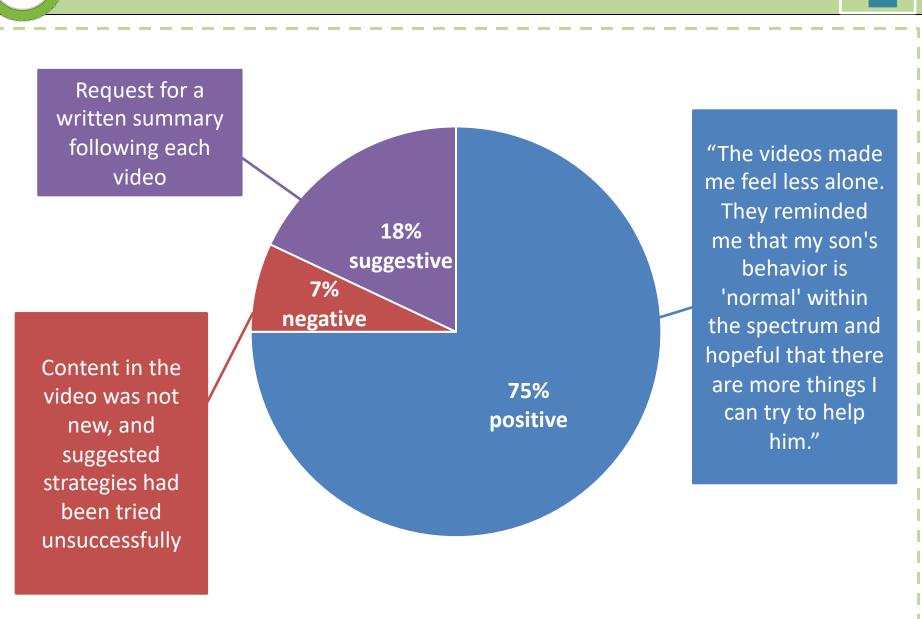
These videos were valuable to These videos changed the way I videos to another parent. me as a parent. think about my child's difficulty.

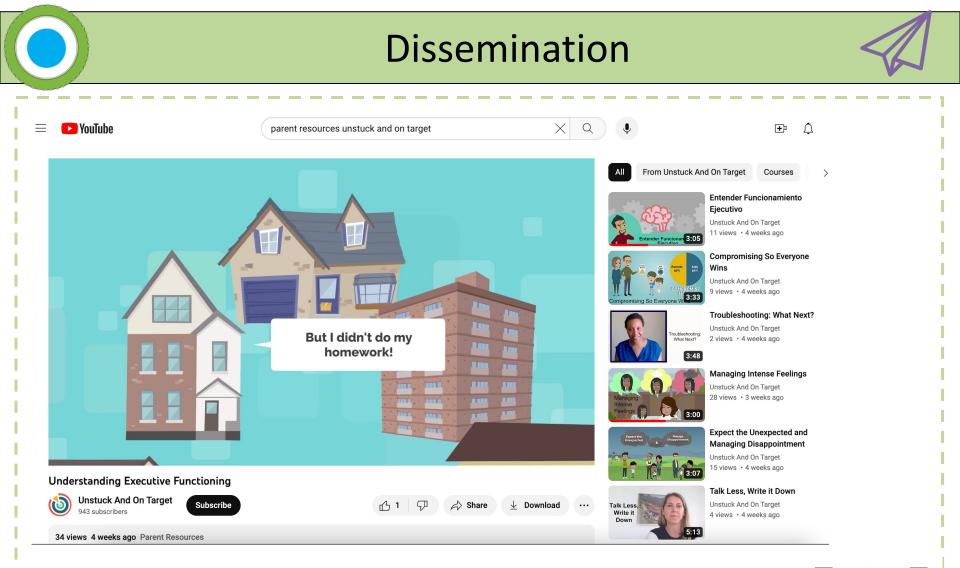




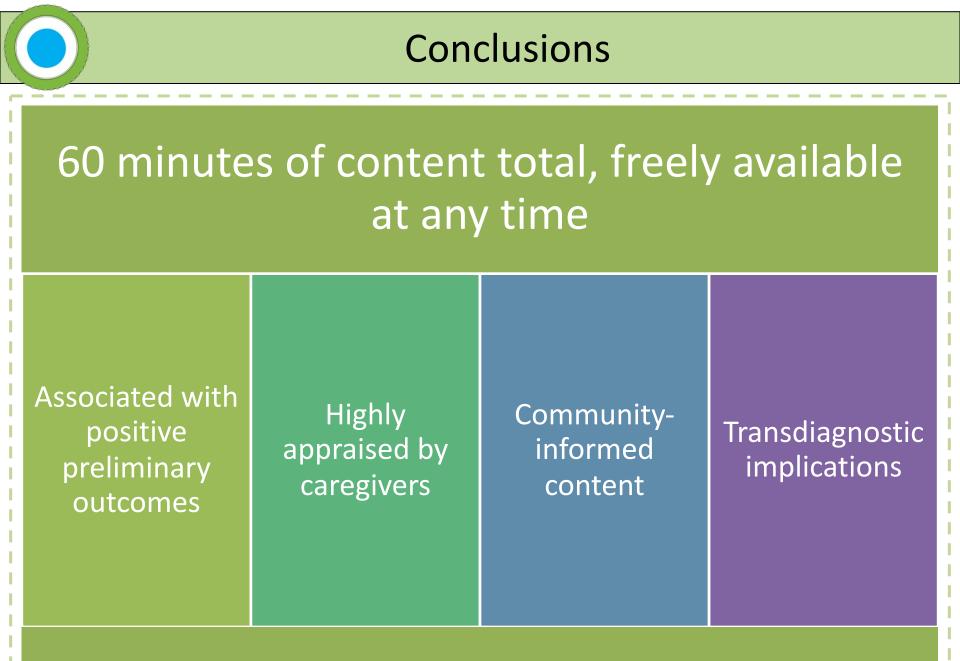


## **Evaluation: Feedback**









# Utilizing Unstuck and On Target in an Outpatient Clinic

Safer-Lichtenstein, J., Barnes, J., & Anthony, L.

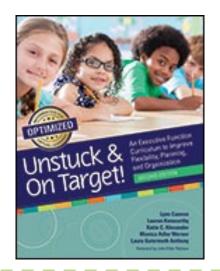
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# Background

- Unstuck manualized program is twenty-one 30-45 minute lessons designed for schools
- Adapted for clinic setting to ten 90-minute sessions
  - Two lessons per week with short break in between
- Optional parallel ten 60-minute weekly virtual sessions for caregivers





# Child sessions

Session	Lessons	Theme
1	Lesson 1: Get to know the group	Foundation skills
	Lesson 2: Introduction to Goal, Why, Plan, Do, Check	
2	Lesson 3: Emotion identification	Foundation skills
	Lesson 4: Coping strategies	
3	Lesson 5: Flexibility investigation	How to be flexible
	Lesson 6: Being flexible with our minds	
4	Lesson 7: Getting stuck	How to be flexible
	Lesson 8: Plan A and Plan B	
5	Lesson 9: Compromising	How to be flexible
	Lesson 10: Big Deal/ little deal	
6	Lesson 11: Choice vs. no choice	How to be flexible
	Lesson 12: Expect the unexpected	
7	Lesson 13: Advantages of being flexible	Why be flexible
	Lesson 14: Flexible reputation	
8	Lesson 15: Setting and achieving goals	Your goals: getting what you want
	Lesson 16: Goal/Why/Plan/Do/Check application	
9	Lesson 17: Goal/Why/Plan/Do/Check practice	Your goals: getting what you want
	Lesson 18: Event planning	
10	Lesson 19: Review game	Your goals: getting what you want
	Lesson 20: Celebration	

#### **Parent sessions**



Derived from e-Unstuck; (Kenworthy et al., 2022) https://doi.org/10.1177/1362361 3221111212





#### Measures

- Attendance
- Behavior Rating Inventory of Executive Function (BRIEF-2)
  - Informant-completed 63 items rating EF behaviors
  - Behavior, Cognitive, & Emotion Regulation indices, and Global Executive Composite score
- Parent acceptability form
  - 8 total questions
  - 6 Likert scale questions and 2 open-ended
- Child acceptability form
  - 5 total questions
  - 3 Likert scales questions and 2 open-ended



## Participants

#### 24 children aged 8-11 years old over 3 cohorts

Variable	Mean (SD)
Age	9.17 (1.31)
BRIEF GEC*	74.92 (5.78)
	Percentage
Gender (male)	83.3%
Race/ethnicity (non-Hispanic White)	70.8%
Diagnosis	
ASD only	4.2%
ADHD only	45.8%
ASD and ADHD	45.8%
Other DD	4.2%

\*BRIEF GEC *t*-scores  $\geq$  70 considered clinically elevated

## Attendance

- Children attended majority of sessions
  - *M* = 8.00, *SD* = 1.93
  - 96% attended at least 5 of 10 sessions, 83% attended at least 7 sessions
- Caregivers of 20 out of 24 children chose to also participate in (optional) virtual caregiver sessions

95% attended at least 5 of 10 sessions, 75% attended at least 7 sessions



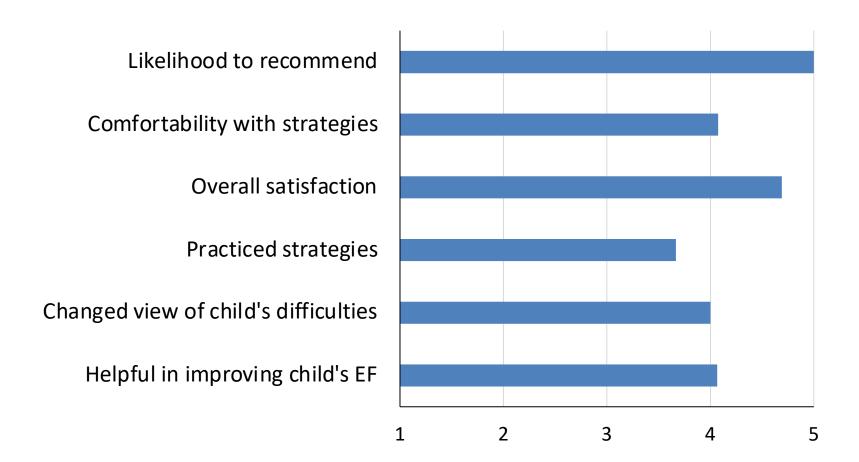
## Child acceptability

Three items rated on 3-point Likert scale (1 = not at all, 2 = a little bit, 3 = a lot)

- How much did you enjoy the groups?
  - *M* = 2.50, *SD* = 0.52
- How much have the skills you learned in group helped you in school?
  - *M* = 2.31, *SD* = 0.70
- How much have the skills you learned in group helped you at home?
  - *M* = 2.50, *SD* = 0.63

# Caregiver feasibility/ acceptability

- Six Likert scales items from 1 (not at all) to 5 (very much)
- Only 15 of 24 completed
- Total feasibility/acceptability: *M* = 25.62, *SD* = 2.99



"Flexibility has greatly improved along with his selfregulation of identifying his feelings. Using terminology we both understand has helped a lot."

"I highly recommend doing both groups. I am unsure what my child would have retained without me attending caregiver training."

"[I have observed improvements] mainly in flexibility. He still has a way to go but just him using the common language is huge."

"[Child's name] seemed to really enjoy group. It is hard to hold his attention and get new concepts to stick but he seemed excited and engaged."

## **Caregiver EF ratings**

- 17 caregivers completed BRIEFs pre- and post- intervention
- Use Hedge's g due to small sample size
- Participants were rated as improving (small to medium effect sizes) based on *t*scores for:
  - Behavior Regulation Index (g = 0.22)
  - Emotion Regulation Index (g = 0.41)
  - Cognitive Regulation Index (g = 0.35)
  - Global Executive Composite (g = 0.34)

In	dex/scale
Inł	nibit
Se	lf-Monitor
Be	havior Regulation Index (BRI)
Sh	ift
En	notional Control
En	notion Regulation Index (ERI)
Ini	tiate
W	orking Memory
Pla	an/Organize
Та	sk-Monitor
Or	ganization of Materials
Со	gnitive Regulation Index (CRI)
Global Executive Composite (GEC	

## Discussion

- Good attendance, acceptability ratings from caregivers and children
  - All parents rated themselves very much likely to recommend the program
  - 80% of parents said the program moderately or very much improved their child's EF
- Only 71% post-intervention measure completion rate
  - Hard without compensating participants
- Small-to-medium change on the BRIEF
  - Short time period to assess pre-post change
- Not a clinical trial (no comparison group)

## **Future directions**

- Experimenting with fewer sessions
  - Summer group: 8 child and 4 parent (90-minute) sessions
- Piloting caregiver portion in Spanish
- Utilize more systematic data collection and/or control group
- Longer delay to follow-up to allow more time to develop skills



# **Overall Summary & Discussion**

*Unstuck* executive function intervention

- Transdiagnostic
- Low-cost
- High impact
- Accessible
- Adaptable

Next steps

- Randomized trials: pre-K, clinic, parent videos
- Iterative refinement to remove implementation barriers
- Identify critical elements, minimal doses
- Expand translations
- Enhance dissemination strategies



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